

- 5. Is an informed consent document placed in the patient's medical record?
- 6. Does the applicant conduct patient/client surveys? If "Yes", attach sample. Are the results of patient/client surveys used to improve day to day operations? If "Yes", provide example.



7. Description of employees or contracted personnel:

	Number of	Number of	Do All Workers	Where Are	e Services R	endered?:	
	Employees?	Independent Contractors?	Carry their Own Insurance?	% in Hospitals	% in Assisted Living	% in Nursing Homes	% in Private Homes
Aids			🗌 Yes 🗌 No				
LPN's			🗌 Yes 🗌 No				
RN's			🗌 Yes 🗌 No				
Nurse Practitioner			🗌 Yes 🗌 No				
Physical Therapist			Yes 🗌 No				
Respiratory Therapist			Yes 🗌 No				
Occupational Therapist			🗌 Yes 🗌 No				
Speech Therapist			🗌 Yes 🗌 No				
Counselors			🗌 Yes 🗌 No				
Social Worker			🗌 Yes 🗌 No				
Pharmacist			🗌 Yes 🗌 No				
Physician Assistant			🗌 Yes 🗌 No				
Other (specify)			🗌 Yes 🗌 No				
8. Give percent	age of patients	s in the following	g age ranges:				

_____% Under 18 _____% Age 18-35 _____% Age 36-50 _____% Age 51-65 _____% Over 65 years old

9. Types of Services Provided % (total must equal 100%):

Adult Day Care	%	Hospice	%	
Child Day Care	%	Pediatric Care	%	
Closed Pharmacy	%	Obstetrical Care	%	
Infant Care	%	Clinics	%	
Infusion Therapy	%	Physicians Office	e%	
Personal Care or Companion	%	Other Services, please describe		
10. Are employees/contractors references contacted before hired/placed? Yes No How are references checked?WrittenVerbalBoth If "Verbal only", please explain:				

11.	Do you perform criminal background checks on prospective employees/contr	ractors?
	If "No", please explain:	
12.	Do you question prospective employees in their previous involvement as def professional malpractice litigation? If "No", please explain:	🗌 Yes 🗌 No
13.	Is certification and/or professional licensure status of employees and indepe contractors verified?	ndent Yes No
14.	Are employees screened to rule out drug, alcohol and/or sexual abuse?	🗌 Yes 🗌 No
15.	Are job descriptions provided for all professional and nonprofessional employ	yees?
16.	Describe services performed by your LPN's/RN's:	
17	Do you supply medical equipment or are your personnel responsible for mor	itoring
17.	equipment? If "Yes", describe all such equipment:	Yes No
18.	Do you sell or lease any equipment? If "Yes", please explain:	Yes No
19.	Do you repair or maintain any medical equipment? If "Yes", please explain:	Yes No
20.	Receipts from equipment sales, leasing or repair: \$	
21.	Provide details for licensing or certification required to operate this business	:
22.	How long have you been licensed/certified?	
23.	Has your license ever been suspended or revoked? If "Yes", please explain:	Yes No
24.	Your premium is adjustable based on your total receipts. Our auditor will ver receipts. If this information is kept by your accountant, provide the accounta address and phone number:	
25.	Do you provide temporary workers to other businesses or institutions?	🗌 Yes 🗌 No
26.	Have you entered into any contractual agreements in which you have agreed indemnify (hold harmless) others for liability?	d to
27.	Do you acknowledge that this policy, if issued, does not cover liability you as agreement?	ssume in any contract or
28.	Do contracts you sign make your company liable for negligent acts of those workers while they are working in and being supervised by those other busin institutions?	

29.	Do you requ	uire temporary	workers to	maintain	their own	professional	liability	policies?	

	Do you verify coverage?	🗌 Yes 🗌 No	How often?	
30.	If providing Supplemental Staff (must equal 100%)	fing to a hospital, plea	ase indicate dep	artments staffed %:

Emergency Room	%	Labor & Delivery	%
Intensive Care	%	Other(specify)	%
Revenues from these	operations \$		

NOTICE TO APPLICANT: The coverage applied for is solely as stated in the policy. If policy is issued on a "CLAIMS MADE" or "CLAIMS MADE AND REPORTED" basis, it provides coverage only for those claims that are first made against the insured during the policy period unless the extended reporting period option is exercised in accordance with the terms of the policy. If issued on an "OCCURRENCE" basis, the policy provides coverage only for those occurrences that take place during the policy period.

The Insurer will rely upon this application and all such attachments in issuing the policy. If the information in this application or any attachment materially changes between the date this application is signed and the effective date of the policy, the Applicant will promptly notify the Insurer, who may modify or withdraw any outstanding quotation or agreement to bind coverage.

In New York: Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information, or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime and shall also be subject to a civil penalty not to exceed five thousand dollars and the stated value of the claim for each such violation.

In all other states: It is a crime for any person to knowingly provide or facilitate in providing any false, incomplete, or misleading information to an insurance company. Penalties may include fines, imprisonment and denial of insurance benefits.

WARRANTY: I warrant to the Insurer, that I understand and accept the notice stated above and that the information contained herein is true and that it shall be the basis of the policy of insurance and deemed incorporated therein, should the Insurer evidence its acceptance of this application by issuance of a policy. I authorize the release of claim information from any prior insurer to James River Insurance Company and its Subsidiaries, 6641 West Broad Street, Richmond, VA 23230.

ture
: